Representing effects of aqueous phase reactions in shallow cumuli in global models

Ji $\mathrm{Nie,}^1$ Zhiming Kuang, 2,3 Daniel Jacob, 3 Jiahua Guo, 3

³ Key points.

 ${\scriptstyle_4}$ ${\scriptstyle_\bullet}$ A EDMF model can well reproduce the results of a LES embedded with idealized aqueous

₅ reactions.

- The aqueous oxidation of SO2 by H2O2 is relatively slow compared to the in-cloud residence
- ⁷ time of air parcels.
- Operator splitting between tracer transport and aqueous reactions leads to significant errors.

Corresponding author: Ji Nie, Lamont-Doherty Earth Observatory, 301E Oceanography, 61 Route 9W, Palisades, NY 10960. (jn2460@columbia.edu)

¹Lamont-Doherty Earth Observatory,

Abstract. Aqueous phase reactions are important, sometimes dominant 9 (e.g. for SO_2), pathways for the oxidation of air pollutants at the local and/or 10 global scale. In many current chemical transport models (CTMs), the trans-11 port and aqueous reactions of chemical species are treated as split processes, 12 and the subgrid-scale heterogeneity between cloudy and environmental air 13 are not considered. Here, using Large-Eddy Simulations (LES) with ideal-14 ized aqueous reactions mimicking the oxidation of surface-originated SO_2 by 15 H_2O_2 in shallow cumuli, we show that the eddy-diffusivity mass-flux (EDMF) 16 approach with a bulk plume can represent those processes quite well when 17 entrainment/detrainment rates and eddy diffusivity are diagnosed using a 18 conservative thermodynamic variable such as total water content. The rea-19 son is that a typical aqueous reaction such as SO_2 aqueous oxidation is rel-20 atively slow compared to the in-cloud residence time of air parcels in shal-21 low cumuli. As a result, the surface-originated SO_2 is well correlated with 22

Columbia University, New York, New York

²Department of Earth and Planetary Sciences, Harvard University, Cambridge, MA, USA.

³John A. Paulson School of Engineering and Applied Sciences, Harvard University, Cambridge, MA, USA.

and behaves like conservative thermodynamic variables that also have sources 23 at the surface. Experiments with various reaction rate constants and rela-24 tive abundances of SO_2 and H_2O_2 indicate that when the reaction timescale 25 approaches the in-cloud residence time of air parcels, the errors of the bulk 26 plume approach start to increase. Treating chemical tracer transport and aque-27 ous reaction as split processes leads to significant errors, especially when the 28 reaction is fast compared to the in-cloud residence time. Overall, the EDMF 29 approach shows large improvement over the CTM-like treatments in match-30 ing the LES results. 31

1. Introduction

Moist convection plays a number of roles in atmospheric chemistry including vertical 32 transport and turbulent mixing of chemical species, photochemistry (by altering the radi-33 ation field), lightning production of NO_x , wet removal, and aqueous phase reactions. The 34 aqueous phase reactions are very important for some chemical species, a prominent exam-35 ple being sulfur dioxide (SO_2) . SO_2 has major sources from fuel combustion, ore smelting, 36 volcano eruptions, and oxidation of dimethyl sulfide (DMS) emitted by the marine bio-37 sphere. SO_2 can be oxidized in the atmosphere, producing sulfate aerosols that affect air 38 quality, cloud nucleation, and climate [e.g. Berg et al., 2011; Ghan et al., 2012]. Because 39 the aqueous phase oxidation of SO_2 by H_2O_2 and O_3 is much more rapid than the gaseous phase oxidation by OH, it dominates global sulfate aerosol formation ($60\% \sim 80\%$, [e.g. 41 Barth et al., 2000; Rasch et al., 2000; Benkovitz et al., 2006; Wang et al., 2011]). Thus, 42 it is important to appropriately represent this type of aqueous phase reaction in global 43 models. 44

In current chemical transport models (CTMs; and global climate models, GCMs, with 45 chemistry components), due to their coarse resolution, shallow cumuli are parameterized, 46 as are the associated aqueous phase reactions. In many CTMs [e.g. Barth et al., 2000; 47 Liu et al., 2005; Jöckel et al., 2006; Verma et al., 2007; Wu et al., 2007], the transport 48 and reactions of chemical tracers are treated as split processes over a CTM time step: 49 the CTMs first use the convective mass flux to calculate the convective transport, then 50 call chemical solvers to calculate the gaseous and aqueous phase reactions. In addition, 51 the chemical solvers usually use the CTM-grid mean chemical concentrations to calculate 52

the aqueous phase reactions. The subgrid-scale heterogeneity (e.g. the difference between 53 cloudy and clear sky regions) of chemically reactive tracers and their correlations is not 54 considered. The above two simplifications are justifiable for gaseous phase reactions that 55 occur throughout a grid cell, but are less justifiable for aqueous phase reactions. Aqueous phase reactions in cumuli mainly occur in cloudy updrafts, in which the concentrations 57 of chemical tracers can be quite different from the grid mean concentrations, as shown in 58 both observations [e.g. Daum et al., 1984] and numerical modeling [e.g. Kazil et al., 2011]. 59 The cloudy updrafts also contribute to the majority of the tracer transport above the 60 subcloud layer [e.g. Vilà-Guerau de Arellano et al., 2005], thereby coupling the chemical 61 transport and reactions together. 62

The objective of this study is to improve representations of aqueous phase reactions in 63 shallow cumuli in the global models, particularly to mitigate the errors due to the above 64 two simplifications. We incorporate an idealized aqueous reaction into the Large-Eddy 65 Simulations (LES) of shallow cumuli. LES has been used by many previous studies to 66 investigate the effects of convection on chemistry, e.g., the photochemical disequilibrium 67 in the dry boundary layer [Krol et al., 2000], and, the transport and transformations 68 influenced by shallow cumulus [Vilà-Guerau de Arellano et al., 2005; Kim et al., 2012]. 69 Here, the LES resolves the turbulent flow and the aqueous reaction in the shallow cumuli 70 at the cloud scale, which serves as the ground truth. The idealized chemical reaction 71 is easy to understand and can be used as a starting point for the investigation of more 72 complex chemical reactions in the future. We then assess whether a simple convective 73 parameterization (the eddy-diffusivity mass-flux approach, EDMF, with a bulk plume 74

model, simply called the EDMF model hereafter) with the aqueous reaction appropriately 75 treated can well represent both the chemical and thermodynamic aspects at the same time. Most convective parameterizations are designed to represent and are validated against 77 the thermodynamic aspect of convection, such as heat and moisture, or inert chemical 78 transport. Less evaluation has been done of the chemically reactive tracers, although 79 the simulations of chemistry in global models are sensitive to the choices of convective 80 parameterizations [e.g. Jacob et al., 1997; Easter et al., 2004; Lawrence and Philip, 2005]. 81 To separate out the uncertainties in representing chemistry from the uncertainties in pa-82 rameterizing convection and clouds themselves, we diagnose the parameters of the EDMF 83 model from the LES results. The aqueous reaction is formulated within the EDMF model 84 in a way that improves upon the above two simplifications (operator splitting and ne-85 glecting subgrid-scale heterogeneity of chemicals). We show that this representation of 86 the aqueous reaction within the EDMF model can well reproduce the LES-simulated 87 chemical aspect over a wide range of chemical regimes, thus making it an effective way to 88 represent aqueous reactions and transport in shallow cumuli. We also analyze the errors 89 of aqueous reaction in the EDMF model, which helps us understand and qualitatively 90 assess when the EDMF model is adequately accurate and when it is not. 91

2. Methodology and Experimental Design

2.1. the LES with Reactive Tracers

The shallow cumuli case is the non-precipitating oceanic trade cumulus case from the undisturbed Barbados Oceanographic and Meteorological Experiment (BOMEX, *Holland and Rasmusson* [1973]). The BOMEX shallow cumuli stayed in a steady state for 5 days in the field observation without apparent complications from precipitation or large-scale

X - 6

perturbations. It is an excellent testbed for us to focus on the chemical aspect, because 96 the convective processes are relatively simple and well studied, . The LES is the System 97 for Atmospheric Modeling (SAM, *Khairoutdinov and Randall* [2003]), which has been 98 used to simulate the BOMEX case [e.g. Siebesma et al., 2003; Nie and Kuang, 2012a]. We 99 run SAM with a spatial resolution of 25 m in all directions in a domain of 6.4 km (x) \times 100 6.4 km (y) \times 3 km (z) with doubly periodic horizontal boundary conditions, and a time 101 step of 1 s. The forcing and other settings are the same as the intercomparison study of 102 BOMEX described in *Siebesma et al.* [2003]. 103

Two massless tracers, ϕ_1 and ϕ_2 with units of *ppb*, are added to the LES to mimic the 104 aqueous oxidation of SO_2 by H_2O_2 . ϕ_1 is released from the surface with a constant flux 105 F_{sfc,ϕ_1} . ϕ_2 , which mimics the atmospheric oxidant H_2O_2 , is relaxed to a reference profile 106 $\phi_{2,ref}$ that is constant in height. We set the relaxation time of ϕ_2 to be 1 day based on 107 photochemical production of H_2O_2 [Jacob et al., 1990]. ϕ_1 is also relaxed to zero with 108 a 1 day relaxation time, which may be viewed as representing gaseous phase oxidation, 109 such as by OH [Barth et al., 2000] or O_3 in sea-salt aerosols [Alexander et al., 2005]. 110 The relaxation of ϕ_1 and ϕ_2 are only applied in clear sky grid cells. We limit this study 111 to surface-originated ϕ_1 , which may be viewed as anthropogenic sources of SO_2 or other 112 pollutants. Other possible sources, such as the oxidation of DMS, will be considered in 113 future work. 114

 ϕ_1 and ϕ_2 react in cloud droplets within cloudy grids (grid cells with cloud liquid water $q_c \ge 0.01 \ g \ kg^{-1}$.) The rate of the aqueous reaction can be expressed in their gaseous phase concentrations with a bulk reaction constant k:

$$R_n = -\frac{d\phi_{1,n}}{dt} = -\frac{d\phi_{2,n}}{dt} = k\phi_{1,n}\phi_{2,n}q_{c,n},\tag{1}$$

DRAFT

118

where the subscript n indicates they are for individual LES grid cells. k (with a unit 119 of $s^{-1} ppb^{-1}$ per $g kg^{-1}$ of cloud liquid water, unit is omitted hereafter) is the product 120 of the aqueous reaction rate constant in liquid water and Henry's equilibria constants 121 (including the dissociation of SO_2 in the aqueous phase). The aqueous reaction rate 122 constant is divided by the liquid water content, while the conversion from aqueous-phase 123 concentrations to gas-phase concentrations are multiplied by the liquid water content. 124 Thus, k in Eq. 1 has no dependence on $q_{c,n}$. For the aqueous oxidation of SO_2 by H_2O_2 , 125 k is about $1 - 2 \times 10^{-3}$ [Seinfeld and Pandis, 1998]. Its dependence on the pH value is 126 small and neglected. As in many previous studies [e.g. Schumann, 1989; Vilà-Guerau de 127 Arellano et al., 2005, the chemical reaction here is highly idealized. However, the minimal 128 complexity of the chemistry allows us to better understand the influences of convection 129 on chemistry and to improve its representation in parameterizations. 130

¹³¹ A control case is set up as the benchmark. The parameters F_{sfc,ϕ_1} and $\phi_{2,ref}$ de-¹³² termine the relative abundances of ϕ_1 and ϕ_2 . In the control case, we set $F_{sfc0,\phi_1} =$ ¹³³ 0.024 ppb kg m⁻² s⁻¹ and $\phi_{2,ref0} = 0.9$ ppb (subscript 0 indicates the control case value), ¹³⁴ so that the concentrations of ϕ_1 and ϕ_2 are comparable in cloudy updrafts. Observations ¹³⁵ show that either SO_2 or H_2O_2 can dominate depending on the environment [Daum et ¹³⁶ al., 1984]. The control case k is set to be 10^{-3} , close to the representative value for SO_2 ¹³⁷ aqueous oxidation by H_2O_2 .

To explore and evaluate the performance of the EDMF model in a wide range of situations, two groups of experiments are carried out in addition to the control case. Cases in group 1 have the same $k = 10^{-3}$ as in the control case. However, in each case $\phi_{2,ref}$ is divided and F_{sfc,ϕ_1} is multiplied by the same factor. There are a total of 12 cases with

this factor varying from $\frac{1}{12}$ to 12. Experiments in this group cover SO_2 aqueous oxidation in different chemical regimes, from SO_2 dominant $(\phi_{2,ref}/\phi_{2,ref0} \ll 1)$ to H_2O_2 dominant $(\phi_{2,ref}/\phi_{2,ref0} \gg 1)$. In the second group of 12 experiments, we keep F_{sfc,ϕ_1} and $\phi_{2,ref}$ the same as in the control case, but change k from 10^{-4} to 10^{-1} . Experiments in this group extend our study to explore a range of aqueous phase reaction rates.

The initial conditions of the chemical tracers are ϕ_1 being zero and ϕ_2 being its reference 147 profile. For most of the paper, we focus on the comparison between the EDMF model 148 and the LES results in the chemical steady state to remove the dependence on the initial 149 conditions of chemical tracers. In section 3.6, we examine the first several hours after 150 initialization to examine the EDMF model's performance in chemical transient state. 151 Many LES, including SAM, can sustain a quasi-steady BOMEX convection only for several 152 hours (hours 2-6 after the initialization; after that the thermodynamic fields slowly drift 153 away [e.g. Siebesma et al., 2003]), which is far less than the observed 5 days and too 154 short to reach a chemical steady state without appropriate initial profiles of the chemical 155 tracers. To overcome this limitation, we first run the model for two hours. We then restart 156 and run the model repeatedly from the end of hour 2 to the end of hour 6 from the same 157 restart file (saved at the end of hour 2) except with a different set of Gaussian random 158 noise applied to the temperature fields of the lowest 5 levels in each of the restarted runs. 159 The added noise has a standard deviation of 0.02K. ϕ_1 and ϕ_2 averaged over the clear 160 sky and cloudy air are calculated at each height during the last hour of a previous run. 161 Then, the clear-sky and cloudy means are assigned as the initial values of ϕ_1 and ϕ_2 in 162 the clear sky and cloudy air, respectively, of the following restarted run. We iterated this 163 procedure for more than 12 rounds (48 simulation hours) for each case, and confirmed 164

DRAFT

that the chemical steady state is reached. Snapshots of thermodynamic (temperature T, total water content q_t , and others) and chemical variables (ϕ_1 , ϕ_2 , R) are saved every minute during the final run for analysis. The first 30 minutes simulation of the final run is discarded as spin-up, so that heterogeneities of ϕ_1 and ϕ_2 inside clouds and clear sky are fully developed for the analysis period.

2.2. the EDMF Model

The EDMF model is evaluated against the LES results. Consider the budget equation of an arbitrary tracer (ψ) horizontally averaged over the LES domain (denoted by overbar):

$$\frac{\partial \overline{\psi}}{\partial t} = \left(\frac{\partial \overline{\psi}}{\partial t}\right)_{forcing} - \frac{1}{\rho} \frac{\partial F_{\psi}}{\partial z} + \overline{S_{\psi}}.$$
(2)

 ρ is air density. The terms on the right-hand side (RHS) are the imposed large-scale forcing, vertical convergence of turbulent flux, and net source, respectively. For the chemical tracers, the large-scale forcing term is zero, and the source terms includes relaxation in the clear sky and the aqueous reaction in cloudy air.

By separating active updrafts from the environment [e.g. *Siebesma and Cuijpers*, 1995], the turbulent flux can be written as

$$F_{\psi} = \rho \overline{w'\psi'} = \rho a(1-a)(w_u - w_e)(\psi_u - \psi_e) + \rho a \overline{w'\psi'}^u + \rho(1-a) \overline{w'\psi'}^e, \qquad (3)$$

where subscripts u and e indicate conditional averaging over active updrafts and the environment, respectively. w is vertical velocity and a is the area fraction of active updrafts. Since a is very small for the BOMEX case (Fig. 1a), we adopt the following highly accurate approximation in the rest of the paper: $(1 - a) \approx 1$ and $\psi_e \approx \overline{\psi}$. The first term on the RHS of Eq. 3 represents net transport by active updrafts and the compensating subsidence. Under the above approximation, it can be written as $M(\psi_u - \overline{\psi})$, where

 $M = \rho a w_u$ is the convective mass flux. The second and third terms represent turbulent 186 flux due to heterogeneities inside updrafts and the environment, respectively. 187

The EDMF model [e.g Siebesma et al., 2007; Sušelj et al., 2012] parameterizes F_{ψ} as 188 the sum of a mass flux component (MF) and an eddy-diffusivity component (ED), 189

$$F_{\psi} \approx M(\psi_u - \overline{\psi}) - \rho K_{\psi} \frac{\partial \overline{\psi}}{\partial z},$$
(4)

where K_{ψ} is the eddy diffusion coefficient. To describe the MF component in the EDMF 191 approach, we use a bulk plume model, [e.g. Siebesma et al., 2007] 192

$$\frac{1}{M}\frac{\partial M}{\partial z} = \epsilon_{\psi} - d_{\psi},\tag{5}$$

194 195

204

193

190

$$\frac{\partial M\psi_u}{\partial z} = \epsilon_{\psi}M\overline{\psi} - d_{\psi}M\psi_u + \rho S_{\psi,u},\tag{6}$$

where ϵ and d (m^{-1}) are the effective fractional entrainment and detrainment rates, re-196 spectively. In the cloud layer, the active updrafts are defined as cloudy grids with upward 197 vertical velocity $(q_c > 0.01g \ kg^{-1} \text{ and } w > 0 \ m \ s^{-1})$. In the subcloud layer, they 198 are defined as grids with w values in the top 1.3%-percentile, which is within the range 199 (1% - 5%) suggested by Siebesma et al. [2003, 2007]. Sušelj et al. [2012] tested that the 200 overall results of their EDMF model are fairly insensitive to the specified threshold of the 201 percentile. The tracers in the updrafts have initial values at the lowest model level (z_1) 202 as the horizontal mean added with an excess that scales with the surface flux $(F_{sfc,\psi})$, 203

$$\psi_u(z_1) = \overline{\psi(z_1)} + \alpha \frac{F_{sfc,\psi}}{\sigma_w(z_1)},\tag{7}$$

where σ_w is the standard deviation of w and $\alpha = 1.06$ is a scaling parameter from Siebesma 205 et al. [2007]. Given the ψ values at the lowest level, we can integrate Eq. 5-6 upward to 206 have the ψ_u values on all levels. Compared to many other parameterizations that treat 207 the cloud layer and the subcloud layer separately, the EDMF model has the advantage 208

²⁰⁹ of providing a unified framework that connects the subcloud layer and the cloud layer ²¹⁰ smoothly. It has been operational in several GCMs and has shown significant improve-²¹¹ ments in the simulation of shallow clouds (e.g. marine stratocumulus and continental ²¹² stratus [e.g. *Koehler*, 2005]).

The prognostic variables of the EDMF model (Eq. 4-7) are q_t and the liquid water 213 static energy $(h_l = C_p T + gz - Lq_c)$, where C_p is the specific heat at constant pressure and 214 L is the latent heat of vaporization) for the thermodynamic aspect and ϕ_1 and ϕ_2 for the 215 chemical aspect. The EDMF model requires specifying the parameters ϵ_{ψ} , d_{ψ} , and K_{ψ} for 216 each tracer. One can diagnose these parameters by matching the LES and EDMF model 217 results (i.e. collecting $M, \overline{\psi}$ and ψ_u from LES snapshots and solving Eq. 5-6 for ϵ_{ψ} and 218 d_{ψ} ; collecting F_{ψ} from the LES and solving Eq. 4 for K_{ψ} with $-\rho K_{\psi} \frac{\partial \overline{\psi}}{\partial z}$ treated as the 219 residual). Siebesma et al. [2003] showed that because of the strong correlation between 220 q_t and h_l , their tracer parameters are very close to each other. Our analysis confirmed 221 their conclusion: the normalized root-mean-square error between ϵ_{q_t} and ϵ_{h_l} is about 20%. 222 As many parameterizations in CTMs do not have parameters for individual tracers, we 223 evaluate the EDMF model with the same set of parameters diagnosed from q_t for both 224 thermodynamic and chemical tracers. By doing so, we are considering the scenario that 225 the EDMF model can "perfectly" represent the thermodynamic aspects of convection and 226 clouds; thus, any errors in the chemical variables are due to the parameter dependence on 227 tracers and deficiencies in the representation of aqueous reaction. 228

2.3. Treatments of the Aqueous Phase Reactions in the EDMF Model and Current CTMs

Effects of the aqueous reaction are considered here only within cloudy updrafts (R_u , equivalent to $-S_{\psi,u}$ in Eq. 6 for the reactive tracers), given the reaction rate in cloudy downdrafts being small. In the EDMF model, R_u is calculated using variables in cloudy updrafts,

233

$$R_{u,EDMF} = k\phi_{1u}\phi_{2u}q_{c,u}.$$
(8)

In other words, the effect of cloudy/clear-sky heterogeneity on the aqueous reaction is explicitly represented. Moreover, the transport and reactions of reactive tracers are calculated simultaneously as we integrate the bulk plume upward; therefore they are coupled. In addition, the mass exchange of reactive tracers between the environment and cloudy updrafts is through entraining/detraining mixing processes, which are deduced from and constrained by the thermodynamic tracer.

The above representation of aqueous reaction is more consistent with the real atmo-240 spheric processes. With the transport (Eq. 4-6) and the aqueous reaction (Eq. 8) param-241 eterized, we can run the EDMF as a single-column model using Eq. 2. At each time step, 242 Eq. 5-6 and Eq. 8 are first integrated upward to obtain ψ_u and $S_{\psi,u}$. Next, Eq. 4 gives 243 the convective flux F_{ψ} . This information is then used in Eq. 2 to calculate the tracer 244 mixing ratio profiles of the following time step. Since the parameters (ϵ , d, and K) are 245 diagnosed from q_t using LES results, the EDMF model will reproduce the LES q_t perfectly 246 and h_l near perfectly. Thus, we only simulate the chemical tracers with the single-column 247 model and compare them against the LES results. The EDMF single-column model has 248

the same vertical grids as the LES and a time step of 4 seconds, due to the consideration of numerical stability and the representation of aqueous reaction.

Parameterizations in current CTMs usually treat the transport and reactions of chemical tracers in shallow cumuli as split processes. The tracer transport by sub-CTM-grid-scale convection and chemical reactions are calculated in separate modules. Moreover, it is common to use the CTM grid mean tracer concentrations to calculate the aqueous reaction in cloudy air,

$$R_{u,CTM} = k\overline{\phi_1} \ \overline{\phi_2} q_{c,u}. \tag{9}$$

To estimate the potential errors due to process-splitting in many CTMs, we run the 257 EDMF single column model as if the transport and reaction are separate as in the CTMs. 258 Over a CTM time step (Δt_{CTM}), we first calculate the tracer transport tendencies using 259 the EDMF model without aqueous reaction, then calculate the tracer tendencies due to 260 aqueous reaction using Eq. 9. The profiles of ϕ_1 and ϕ_2 are updated using the total 261 tendencies over Δt_{CTM} . Note that since we do not update tracer profiles during the split 262 processes (as some CTMs do), the results do not depend on whether transport or aqueous 263 reaction is calculated first. This is a more consistent comparison with the EDMF model. 264 In Section 3.5, the results from this setting are compared with the EDMF model with 265 transport and aqueous reaction coupled. 266

3. Results

We start with some basic characteristics of the BOMEX shallow cumuli in the LES (Fig. 1). The area fraction (a, Fig 1a) of the active updrafts is specified to be a constant (1.3%) in the subcloud layer, and decreases with height in the cloud layer. In the cloud

layer, the net mass flux M also decreases with height, but less than a because w_u increases 270 with height due to buoyancy acceleration. At the cloud base (around 550 m level), a and 271 M are discontinuous due to the different definitions of updrafts applied in the subcloud 272 layer and the cloud layer. Although not for the reactive tracers examined here, for other 273 tracers with strong vertical gradients near the cloud base, this discontinuity may induce 274 biases in the EDMF model. $q_{c,u}$ increases with height due to continuous condensation 275 as cloudy updrafts rise (Fig. 1b). The active updrafts are significantly moister than the 276 environmental mean, especially in the cloud layer (Fig. 1c). As cloudy updrafts rise, 277 turbulent mixing continuously entrains environmental air into cloudy updrafts, pushing 278 cloudy updrafts' properties toward the environmental air properties. Fig. 1d shows the 279 flux of total water, Fq_t , and its decomposition through Eq. 4. The convective flux of q_t 280 is mostly due to the ED component in the subcloud layer, while mostly due to the MF 281 component in the cloud layer. This supports the "mass flux approximation" used in many 282 convective parameterizations that approximates the total flux by the MF component in 283 the cloud layer. The EDMF model represents the residuals as an eddy diffusion process 284 naturally connected with the subcloud layer eddy diffusion, which dominates the flux 285 transport there. 286

Fig. 1e-f shows the parameters diagnosed from q_t and applied to the chemical tracers. In the cloud layer, the diagnosed ϵ and d are consistent with results in previous studies ([e.g. *Siebesma et al.*, 2003]). In the subcloud layer, ϵ and d are smaller, consistent with the relatively constant M in the subcloud layer. K_{qt} , which is close to the results in Fig. 11 of *Siebesma et al.* [2003], is very large in the subcloud layer, corresponding to the

DRAFT

²⁹² strong turbulent mixing there that maintains nearly constant vertical profiles of q_t (and ²⁹³ other tracers). K_{qt} is small in the cloud layer, corresponding to small ED flux there.

3.1. the Control Case

Now we examine the steady state chemical aspect in the control case of the LES sim-294 ulations (solid lines in Fig. 2). The ϕ_1 profiles (Fig. 2a) share similar features with the 295 q_t profiles (Fig. 1c), because the source of ϕ_1 , like q_t , comes from the surface flux and 296 thus ϕ_1 is well correlated with q_t . $\overline{\phi_1}$ and $\overline{\phi_2}$ are nearly constant with height in the sub-297 cloud layer due to the strong turbulent mixing there. ϕ_{1u} and ϕ_{2u} at the cloud base have 298 similar values as $\overline{\phi_1}$ and $\overline{\phi_2}$ in the surface layer, because cloudy updrafts originate from 299 the surface. Above the cloud base, ϕ_{1u} and ϕ_{2u} decrease with height due to the aqueous 300 reaction and entrainment of environmental air having lower mixing ratios. Detrainment 301 of ϕ_2 -depleted cloudy air leads to the decrease of $\overline{\phi_2}$ with height. This effect is balanced 302 by the relaxation of ϕ_2 in the clear sky, leading to the intersection of $\overline{\phi_2}$ and ϕ_{2u} at around 303 800 m height. 304

The convective fluxes of ϕ_1 and ϕ_2 and their decomposition are shown in Fig. 2c-d. F_{ϕ_2} 305 is much smaller than F_{ϕ_1} in magnitude due to the small contrast of ϕ_2 in updrafts and 306 environment (Fig. 2b). In the subcloud layer, the ED component dominates the total flux 307 of both ϕ_1 and ϕ_2 . In the cloud layer, the MF component accounts for almost all of the 308 total flux for ϕ_1 . For ϕ_2 , the MF and ED components are of comparable amplitudes but 309 with opposite signs. As can be seen from Fig. 2c-d, the "mass flux approximation" is well 310 satisfied for ϕ_1 , consistent with previous studies that examined the convective transport 311 of surface-originated tracers [e.g. Vilà-Guerau de Arellano et al., 2005]. However, the 312

DRAFT

approximation is not well satisfied for ϕ_2 , suggesting that additional considerations are needed for non-surface-originated tracers.

The horizontally averaged reaction rate \overline{R} (Fig. 2e) peaks slightly above cloud base 315 and then decreases with height, mainly due to the decrease of cloud fraction with height 316 (Fig. 1a). R_u actually increases with height due to the increase of $q_{c,u}$ (figure not shown). 317 Aqueous reaction in cloudy updrafts (aR_u) accounts for most of the total aqueous reac-318 tion. Thus, neglecting aqueous reaction in cloudy downdrafts in the EDMF model is an 319 acceptable simplification for the shallow cumulus studied here. For other types of convec-320 tion with substantial area fraction of cloudy downdrafts, such as stratocumulus, aqueous 321 reaction in cloudy downdrafts should also be considered. 322

The steady state results of the EDMF model (circles in Fig. 2) reproduce the LES 323 results quite well. The matches of ϕ_1 and F_{ϕ_1} are particularly good (vertically averaged 324 relative errors < 5%). The EDMF model underestimates \overline{R} by about 11%, but this is 325 mostly due to the neglect of the aqueous reaction in cloudy downdrafts. When compared 326 to reactions only in cloudy updrafts (aR_u) , the error in EDMF reduces to about 3%. 327 The EDMF model also reproduces ϕ_2 and F_{ϕ_2} (vertically averaged relative errors < 5%), 328 although, with some biases in the shape of the profiles. It underestimates the ϕ_2 flux of 329 the MF component in the upper levels and has almost zero ED ϕ_2 flux in the cloud layer. 330 Due to the cancellation of biases, the discrepancies of F_{ϕ_2} between the EDMF and LES 331 results are, fortunately, smaller than discrepancies in the individual components. 332

Although the EDMF model well reproduces the LES results of the control case, in Sections 3.2-3.4 we examine the underlying assumptions of the EDMF model that can

DRAFT

lead to errors in representing chemical transports and aqueous reactions, and understand 335 the dependence of these errors under different chemical parameters or settings. 336

3.2. Errors in the Eddy Diffusivity Component

The EDMF model has non-negligible deficiencies in parameterizing non-surface-337 originated tracer ϕ_2 (Fig. 2b,d). Particularly, it has almost zero ED flux component 338 in the cloud layer, due to the small K diagnosed from q_t . Fig. 1f also shows K diagnosed 339 from ϕ_1 and ϕ_2 . The diffusivities (K) of the surface-originated tracer q_t and ϕ_1 are close 340 to each other. However, K_{ϕ_2} shows quite different features from K_{q_t} . 341

In the subcloud layer, K_{ϕ_2} is about half of K_{q_t} , but the differences of K there do not 342 affect the EDMF model too much. We have run the EDMF model with K_{q_t} doubled or 343 halved in the subcloud layer. The resulting ϕ_1 and ϕ_2 are very close to the ones shown 344 in Fig. 2. This is because in the subcloud layer, K is so large that its first effect is 345 to maintain nearly constant tracer profiles with height. The vertical gradient of tracers 346 is relatively small $(\frac{\partial \psi}{\partial z} \approx 0)$. Sizable changes in K can easily be compensated by small 347 adjustments of tracer vertical gradients in the model. The K parameter may be important 348 for the thermodynamic variables $(h_l \text{ and } q_t)$, which in turn can affect the subcloud layer 349 properties, such as the subcloud layer depth and the delicate convective inhibition near 350 the cloud base. However, for passive chemical tracers that do not interact with convection, 351 using K diagnosed from q_t is sufficient for parameterizing them in the subcloud layer.

In the cloud layer, K_{ϕ_2} has a singular point near the 1300 m level, corresponding to 353 the local minimum of $\overline{\phi_2}$ there (Fig. 2b). Above that level, K_{ϕ_2} is negative, which is 354 unphysical. Note that the "ED" flux in the LES (Fig. 2d) is actually calculated as the 355 difference between the total flux and the flux due to the MF component. As seen from 356

DRAFT

352

Eq. 3-4, the EDMF model posits that $\rho a \overline{w'\psi'}^u + \rho(1-a) \overline{w'\psi'}^e \approx -\rho K_{\psi} \frac{\partial \overline{\psi}}{\partial z}$, under the 357 assumptions that $\rho a \overline{w' \psi'}^{u}$ is small and the turbulence in the environment is random. Fig. 358 3 shows that $\rho a \overline{w' \phi'_2}^u$ is relatively small. The positive ϕ_2 flux of the "ED" component 359 (, and thus the negative K_{ϕ_2}) is mostly due to transport in the subsiding shells (Fig. 360 3), which is defined here as grids within 200 m of the nearest cloudy updrafts edge, 361 including both saturated and saturated air [Heus and Jonker, 2008]. The flux in the 362 rest of the environment (quiescent environment) is very small. If we further increase 363 the cloud shell size, for example to within 400 m of the updrafts edge, the ϕ_2 flux in 364 the quiescent environment becomes slightly negative and follows the ϕ_2 gradient as eddy 365 diffusion. The above analysis suggests that for non-surface-originated tracer ϕ_2 , the eddy 366 diffusion cannot appropriately represent the non-MF component flux, and the subsiding 367 shells of the shallow cumulus clouds should be included in the parameterization. 368

3.3. Errors Due to In-cloud Heterogeneities

Next, we move to the MF component (i.e. the bulk plume model) of the EDMF model, 369 particularly the aqueous reaction rate in the cloud layer. The bulk plume model assumes 370 that the environment and cloudy updrafts have uniform properties within each category 371 (the top-hat approximation, [Siebesma and Cuippers, 1995]). In other words, the bulk 372 plume model explicitly distinguishes cloudy updrafts from the environment, but neglects 373 the heterogeneities of air within cloudy updrafts and the environment, leading to errors 374 in the calculation of the aqueous reaction. To estimate the relative importance of the 375 heterogeneity within cloudy updrafts, we define a segregation error (γ_{seg} , with units of 376 %) as the relative error due to the top-hat approximation when the cloudy updraft mean 377

³⁷⁸ properties are correctly predicted,

379

$$\gamma_{seg} = \frac{\phi_{1u} \ \phi_{2u} \ q_{c,u} - \overline{\phi_{1,n} \phi_{2,n} q_{c,n}}^c}{\overline{\phi_{1,n} \phi_{2,n} q_{c,n}}^c},\tag{10}$$

 $_{\scriptscriptstyle 380}$ Neglecting second order terms, γ_{seg} can be written as

$$\gamma_{seg} \approx -C_{\phi_1, q_c} \mu_{\phi_1} \mu_{q_c} - C_{\phi_2, q_c} \mu_{\phi_2} \mu_{q_c} - C_{\phi_1, \phi_2} \mu_{\phi_1} \mu_{\phi_2}, \tag{11}$$

where $C_{x,y}$ is the correlation coefficient between x and y, and μ_x is the coefficient of variation (the ratio of the standard deviation to the mean) of x. γ_{seg} with the opposite sign is very close to the intensity of segregation used in many previous studies [e.g. *Krol et al.*, 2000; *Vilà-Guerau de Arellano et al.*, 2005]. Here the segregation of tracers in clear sky and cloudy updrafts is already taken into account in the bulk plume model, so that γ_{seg} measures segregation of tracers inside cloudy updrafts.

The decomposition of γ_{seg} in the LES control case based on Eq. 11 is shown in the top 388 row of Fig. 4. First, we examine the correlation coefficients in the upper-central panel. 389 ϕ_1 is strongly positively correlated with q_c in cloudy updrafts (C_{ϕ_1,q_c} is close to 1), as 390 expected. ϕ_2 and q_c are also positively correlated near the cloud base, implying that the 391 most energetic subcloud-layer updrafts are enriched in the reactive tracers and moisture. 392 As cloudy updrafts rise, the aqueous reaction of the reactive tracers with each other leads 393 to the negative correlation between ϕ_1 and ϕ_2 . In addition, above the height where ϕ_{2u} 394 and $\overline{\phi_2}$ intersect (around 800 m, Fig. 2b), entrainment has opposite effects on ϕ_2 and ϕ_1 395 of cloud updrafts: it increases ϕ_2 but decreases ϕ_1 in cloud updrafts. As a result, C_{ϕ_1,ϕ_2} 396 (and also C_{ϕ_2,q_c}) becomes more and more negative as the updrafts go up. μ_{q_c} is large 397 near cloud base (the upper-right panel) is because $q_{c,u}$ is small. μ_{ϕ_1} and μ_{ϕ_2} are much 398 smaller than μ_{q_c} , indicating that reaction in the control case is slow and leads to very weak 399

DRAFT

heterogeneities of ϕ_1 and ϕ_2 in cloudy updrafts. The upper-left panel plots the products 400 of the correlation coefficients and coefficients of variation (i.e. the RHS terms of Eq. 11). 401 In the control case, γ_{seg} is dominated by the RHS1 term (the covariance between ϕ_1 and 402 q_c) because the in-cloud heterogeneity of $\phi_1(\mu_{\phi_1})$ is larger than that of $\phi_2(\mu_{\phi_2})$. 403

As the relative abundances of ϕ_1 and ϕ_2 change in experiments in group 1, the reaction 404 timescale and strength of in-cloud heterogeneities of the reactive tracers also change. The 405 decompositions of γ_{seg} for a ϕ_1 -dominant case (the case with $\phi_{2,ref}/\phi_{2,ref0} = 1/12$ and 406 $\overline{\phi_1} \approx 400\overline{\phi_2}$) and a ϕ_2 -dominant case (the case with $\phi_{2,ref}/\phi_{2,ref0} = 12$ and $\overline{\phi_2} \approx 500\overline{\phi_1}$) 407 are shown in row 2 and row 3 of Fig. 4, respectively. Compared to the control case, the 408 correlation coefficients are qualitatively similar in all three cases, but μ_{ϕ_1} and μ_{ϕ_2} can 409 vary significantly. When ϕ_1 is strongly dominant in cloudy updrafts, ϕ_2 reacts quickly 410 and thus has a short lifetime. The fast reaction leads to low values and strong in-cloud 411 heterogeneities of ϕ_2 , giving the large value of μ_{ϕ_2} (blue line in the right panel of row 412 2), even though its updraft mean value is small. As a result, γ_{seg} is dominated by the 413 covariance term between ϕ_2 and q_c (RHS2 term, blue line in the left panel of row 2). 414 When ϕ_2 is strongly dominant in cloudy updrafts, based on the same argument, γ_{seg} is 415 dominated by the covariance term between ϕ_1 and q_c (RHS1 term, red line in the left 416 panel of row 3). 417

We can define a Damköhler number (D_a) [e.g. Molemaker and Vilà-Guerau de Arellano, 418 1998; Krol et al., 2000; Schumann, 1989] as the ratio of the in-cloud residence time of air 419 parcels in shallow convection (τ_{con}) to the reaction timescale $(\tau_{\phi_1} \text{ and } \tau_{\phi_2})$ to characterize 420 the influences of convection on the aqueous reaction, 421

$$D_{a,\phi_{1,2}} = \frac{\tau_{con}}{\tau_{\phi_{1,2}}}.$$
(12)

422

 D_a being far smaller than 1 indicates that the heterogeneity of reactive tracers in cloudy updrafts is small, and the updrafts mean is adequate for the calculation of reaction rate. D_a being close to or greater than 1 indicates that the segregation of reactive tracers in cloudy updrafts is signifiant and may need to be taken into account.

 τ_{con} can be estimated by dividing the total cloudy air mass by total inflow,

$$\tau_{con} = \frac{\int_{z_{cb}}^{z_{ct}} a\rho dz}{M(z_{cb}) + \int_{z_{cb}}^{z_{ct}} \epsilon M dz}.$$
(13)

Using ϵ_{qt} , this gives $\tau_{con} = 370 \ s$. Alternatively, Neggers et al. [2002] calculated the 429 eddy turnover time of individual clouds as the cloud depth divided by the cloud-averaged 430 maximum vertical velocity. They found that the BOMEX clouds with different cloud 431 depth have a relatively constant eddy turnover time of about 400 s (see their Fig. 4), 432 close to τ_{con} estimated here by Eq. 13. Note that τ_{con} can be much smaller than the 433 life-time of a cumulus cloud (~ $10^3 s$), because a cumulus cloud is continuously fed with 434 updrafts from subcloud layer. The reaction time scale of ϕ_1 in the cloud layer as a whole 435 can be estimated as the total ϕ_1 divided by the total reaction rate in cloudy updrafts, 436

$$\tau_{\phi_1} = \frac{\int_{z_{cb}}^{z_{ct}} a\rho \phi_{1u} dz}{\int_{z_{cb}}^{z_{ct}} a\rho R_u dz}.$$
(14)

 τ_{ϕ_2} can be estimated in a similar way. The reaction timescale on a particular level may differ from the overall timescale estimated from Eq. 14. For the control case, this gives $\tau_{\phi_1} \approx 5 \times 10^3 \ s \ \text{and} \ \tau_{\phi_2} \approx 3 \times 10^3 \ s.$

To represent the overall segregation error in the cloud layer, we define Γ_{seg} as the vertically averaged γ_{seg} weighted by the product of the cloudy updraft fraction and density. Γ_{seg} and the D_a of reactive tracers for all cases in group 1 are summarized in Fig. 5. From left to right, the reaction regime changes from ϕ_1 -dominant to ϕ_2 -dominant. Correspond-

DRAFT

428

437

ingly, D_{a,ϕ_1} changes from ~ 10⁻³ to ~ 1, and D_{a,ϕ_2} changes from ~ 1 to ~ 10⁻³ (Fig. 5b). 445 Γ_{seg} is dominated by the covariances between q_c and the tracer with the smaller reaction 446 timescale (larger D_a). Thus, Γ_{seg} is positive to the left end and negative to the right end. 447 In either direction, the absolute value of Γ_{seg} increases as the larger D_a value betwee the 448 two reactive tracers increases. As the larger D_a approaches and exceeds 1, which indicates 449 the reaction time scale is close to or faster than the in-cloud residence timescale, in-cloud 450 heterogeneities have greater impacts on the aqueous reaction, but errors are only about 451 10% when $D_a \approx 1$. In the real atmosphere, in situations in which the SO_2 concentration 452 dominates the H_2O_2 concentration, O_3 may take in charge and play a bigger role in the 453 aqueous oxidation of SO_2 , resulting in reduced segregation between SO_2 and oxidants in 454 cloudy updrafts. 455

3.4. Errors Due to Entrainment/Detrainment Rates

The dependence of entrainment/detrainment rates (ϵ/d) on tracers can lead to errors 456 in the EDMF model. For q_t and h_l , because they are so well-correlated, their ϵ/d are 457 almost identical [e.g. Siebesma et al., 2003]. However, ϵ_{ϕ_1} and ϵ_{ϕ_2} diagnosed from the LES 458 results show sizable differences from ϵ_{q_t} for the control case (Fig. 6a). Since ϵ and d are 459 constrained by the mass-flux equation (Eq. 5, i.e. $\epsilon_{\phi_1} - d_{\phi_1} = \epsilon_{q_t} - d_{q_t}$), here we only focus 460 on the discussion of ϵ . Because the reaction is slow in the control case $(D_{a,\phi_{1,2}} \approx 0.1)$, 461 the surface-originated tracer ϕ_1 has ϵ similar to but slightly smaller than ϵ of q_t . The 462 intersection of ϕ_{2u} and $\overline{\phi_2}$ around 800 m (Fig. 2b) leads to the unrealistic oscillation and 463 negative values of ϵ_{ϕ_2} around that height. The tracer dependence on ϵ is largely due to 464 the aqueous reaction. The actual detrained (entrained) air seldom has the cloudy updraft 465 mean (environmental mean) properties ([Romps, 2010; Dawe and Austin, 2011; Nie and 466

⁴⁶⁷ Kuang, 2012b]). Because of the aqueous reaction, the differences between $\phi_{1,2}$ in detrained ⁴⁶⁸ cloudy updrafts and their mean values in the cloudy updrafts are different from those of ⁴⁶⁹ q_t . Thus, detraining (entraining) the same amount of cloudy (environmental) air leads to ⁴⁷⁰ different fractional changes of the environmental (bulk plume) $\phi_{1,2}$ and q_t .

We estimate the errors of R due to the tracer dependence of ϵ in the bulk plume model 471 as follows. The bulk plume (Eq. 5-6) starts at cloud base with $\phi_{1,u}$ and $\phi_{2,u}$ diagnosed 472 from LES. After integrating upward over each level, we calculate γ_{seg} on that level from 473 the LES results with Eq. 11 and use it to correct R_u . With the errors due to in-cloud 474 heterogeneities fixed, what is left of the errors of R_u is only due to the errors in the 475 cloudy updrafts mean tracer values caused by the inaccurate ϵ/d . The bulk plume model 476 is integrated to the cloud top. The relative differences between the resulting R_u and 477 the LES R_u are vertically averaged with the weighting factor of a and density, giving an 478 estimation of entrainment error (Γ_{ent} , with units of %). Because ϕ_{2u} and $\overline{\phi_2}$ are very 479 close to each other (Fig. 2b), the differences between ϵ_{q_t} and ϵ_{ϕ_2} have little effect on the 480 calculation of ϕ_{2u} . Analyses indicate that Γ_{ent} is dominated by the differences between 481 ϵ_{ϕ_1} and ϵ_{q_t} ; therefore our discussions hereafter focus on ϕ_1 and ϵ_{ϕ_1} . 482

It is expected that Γ_{ent} is also related to D_a . As long as the reaction timescale is large compared to the in-cloud residence timescale ($D_a \ll 1$), reactive tracers behave similarly to conservative tracers and Γ_{ent} should be small. When the reaction timescale is close to or smaller than the in-cloud residence timescale, the aqueous reaction will have larger effects on ϵ , leading to larger Γ_{ent} . The cases in group 2, in which we vary k from 10^{-4} to 10^{-1} , demonstrate the above argument. Fig. 6b shows the ϵ_{ϕ_1} for all cases in group 2. As k increases, ϵ_{ϕ_1} deviates further away from ϵ_{q_t} to more negative values. Fig. 7 shows Γ_{ent}

and D_a of the group 2 cases as a function of k. As k increases from 10^{-4} to 10^{-1} , D_{a,ϕ_1} 490 increases from ~ 10^{-2} to ~ 10^{-1} , and D_{a,ϕ_2} from ~ 10^{-2} to ~ 1. Consistently, as D_a 491 increases and approaches 1, the absolute value of Γ_{ent} starts to increase sharply (Fig. 7a). 492 Γ_{ent} is always negative because ϵ_{ϕ_1} is always smaller than ϵ_{q_t} due to the aqueous reaction. 493 The analyses in Section 3.3-3.4 show that for most of the cases examined, the aqueous 494 reaction can be viewed as slow $(D_a \ll 1)$ compared to convective timescale. Thus, errors in 495 the aqueous reaction due to segregation and the dependence of entrainment/detrainment 496 rates on tracers are small. The EDMF model with diagnosed parameters from q_t repro-497 duces the transport and reactions of ϕ_1 and ϕ_2 quite well. 498

3.5. Evaluating the operator-splitting error in CTMs

In this subsection, we evaluate the error due to operator-splitting that is used in many 499 CTMs. This is done by running the EDMF model but with the transport and aqueous 500 reaction calculated separately over a typical CTM time step, as introduced in Section 2.3. 501 Fig. 8 summarizes the mean tracer concentrations (vertically averaged from the surface 502 to the cloud top level) and mean aqueous reaction rate (vertically averaged from the cloud 503 base to the cloud top level) in all the cases in the two groups. We first examine the LES 504 results in group 1 (Fig. 8a-c), in which the relative ratio between ϕ_1 and ϕ_2 decreases 505 moving from left to right on the x axes. The dependence of $\overline{\phi_1}$ and $\overline{\phi_2}$ on the relative 506 abundance of ϕ_1 and ϕ_2 (x axes) is consistent with the experiment designs (note that the 507 y axes in Fig. 8a-b are logarithmic). Fig. 8c shows that the LES \overline{R} peaks when ϕ_1 and 508 ϕ_2 are comparable in cloudy updrafts. The cases in group 2 (Fig. 8d-f) shows that as k 509 increases, both $\overline{\phi_1}$ and $\overline{\phi_2}$ decrease while \overline{R} increases. 510

DRAFT

The EDMF model results (red markers in Fig. 8) match the LES results quite well for 511 the three variables in all the cases. However, if the transport and aqueous reaction in the 512 EDMF model are treated as separated operators as is done in may CTMs over ΔT_{CTM} (30) 513 minutes in the calculation shown in Fig. 8), the results (blue markers) show significant 514 error. To provides a quantitative estimation of the errors, Fig. 9 shows the normalized 515 root-mean-square errors (NRSME) of $\overline{\phi_1}$, $\overline{\phi_2}$, and \overline{R} for all cases in the two EDMF model 516 settings. The open markers indicate the mean is underestimated by simple models, while 517 the solid markers indicate the mean is overestimated. Consistent with previous analysis, 518 when ϕ_2 becomes dominant (D_{a,ϕ_1} approaches 1), the NMSRE of $\overline{\phi_1}$ increases (Fig. 9a). 519 The opposite holds when ϕ_1 becomes dominant (Fig. 9b). For the second group of 520 experiments (Fig.9 d-f), as k increases, D_{a,ϕ_1} and D_{a,ϕ_2} approach 1, consistent with the 521 increases of the NMSREs. In all the cases, the error in the EDMF model is much greater 522 if the transport and aqueous reaction are treated as separated operators than if they 523 are calculated simultaneously. The errors due to operator splitting decreases as ΔT_{CTM} 524 decreases (Fig 10, taking the control case as an example). However, even if ΔT_{CTM} 525 decreases to 4 seconds, the same of the sub-CTM time step, operator-splitting still leads 526 to additional errors. 527

Although here we evaluate the errors due to the operator-splitting in CTMs using the EDMF model, this error is independent of the EDMF model and exists in other massflux-based convective parameterizations. On the other hand, a mass-flux-based convective parameterization can reduce this error by calculating tracer transport and aqueous reactions in updrafts simultaneously [e.g. *Berg et al.*, 2015].

X - 27

3.6. Chemical Transient States

Although the above analyses are in chemical steady states, the EDMF model with diagnosed parameters also works well in transient states. In the following three transient cases ($k = 0, 10^{-3}, 10^{-1}$), the BOMEX case is initialized from hour 0 and runs for 6 hours. The initial conditions of chemical tracers are ϕ_1 being zero and ϕ_2 being the reference value.

Fig. 11a-c shows the LES-simulated evolution of tracer profiles and reaction rate of the 538 $k = 10^{-3}$ case. As time progresses, ϕ_1 builds up in the subcloud layer and is transported 539 upward by convective updrafts. The aqueous reaction leads to the decrease of ϕ_2 in the 540 cloudy layer; and the ϕ_2 -depleted air is entrained into the subcloud layer and decreases 541 $\overline{\phi_2}$ there. \overline{R} becomes non-negligible at about hour 0.5 and continues to grow, due to the 542 development of shallow cumuli and the building up of ϕ_1 . During the thermodynamical 543 steady period (hour 3 to hour 6), there is considerable variability of cloud fraction, reflected 544 as the variation of \overline{R} . This internal variability, however, can be reduced by increasing the 545 LES domain size or averaging over an ensemble of simulations. 546

We run the EDMF model from hour 3 to hour 6, with the same entrainment/detrainment 547 and the same eddy diffusivity parameters as the ones in previous subsections. The initial 548 profiles of the reactive tracers are taken from the LES profiles at hour 3. The differences 549 of $\overline{\phi_1}$ and $\overline{\phi_2}$ between the EDMF model and the LES results (Fig. 11d-e) are small. \overline{R} 550 in the EDMF results shows smoother variation in time than it does in the LES results 551 (color contour in Fig. 11f). The comparison of vertically averaged \overline{R} between the EDMF 552 model and the LES (lower panel in Fig. 11f) shows that the EDMF model captures the 553 LES results well. Fig. 12 shows the comparison of the hour 6 profiles from the LES and 554

the EDMF model (which also starts from hour 3) for the k = 0 and $k = 10^{-1}$ cases. Without aqueous reaction (k = 0), more ϕ_1 is transported into cloudy layer (Fig. 12a). With strong aqueous reaction ($k = 10^{-1}$), significant amounts of ϕ_1 are only found in the subcloud layer (Fig. 12b), since ϕ_1 in cloudy updrafts quickly reacts near the cloud base (Fig. 12d). In both cases, the EDMF model reasonably reproduces the LES results.

4. Conclusions and Discussions

The goal of this study is to improve the representation of aqueous phase reactions in 560 shallow cumuli in global models. An LES with an idealized chemical reaction mimicking 561 the aqueous oxidation of surface-originated SO_2 by H_2O_2 is used to guide simple models. 562 We show that the EDMF approach with a bulk plume model is a promising solution. 563 When entrainment/detrainment rates and eddy diffusivity are diagnosed using a conser-564 vative thermodynamic tracer (e.g. q_t), the EDMF model represents the transport and 565 aqueous reactions of reactive tracers quite well over a wide range of parameters. The 566 eddy diffusion component of the EDMF model is sufficient for parameterizing surface-567 originated chemical tracers, while it may neglect the tracer transport in the cloud shells 568 for non-surface-originated tracers. The bulk plume component of the EDMF approach 569 has two sources of errors: neglecting the heterogeneities within cloudy updrafts leads 570 to a segregation error between reactive tracers and cloud water, and the use of entrain-571 ment/detrainment parameters derived from q_t on reactive tracers leads to an entrainment 572 error. Both of these errors are related to the reaction timescale. When the reaction is 573 slow compared to the in-cloud residence time of air parcels, the reactive tracers behave 574 like conservative tracers, so that the EDMF model that represents the conservative ther-575 modynamic tracers well can also represent the reactive tracers well. When the reaction 576

X - 29

timescale approaches the in-cloud residence time of air parcels, in-cloud heterogeneity 577 increases and the entrainment/detrainment rates of reactive tracers further deviate from 578 those derived using conserved variables, resulting in greater errors. 579

The errors due to operator-splitting are estimated by running the EDMF model in a 580 CTM-like configuration where the tracer transport and aqueous reactions are calculated 581 separately over a time step representative of CTMs, and the aqueous reaction calcula-582 tions use horizontal mean (rather than updraft) tracer concentrations. The error due to 583 operator-splitting can be significant (> 50% for all cases examined here with a CTM time 584 step of 30 minutes), especially when the reaction is fast compared to the in-cloud residence 585 time. The error decreases as the CTM time step decreases, but remains larger than that 586 of the case with tracer transport and aqueous reactions calculated simultaneously in the 587 cloudy updrafts. 588

In this study, the parameters for the EDMF model are diagnosed from a conserved 589 thermodynamical tracer. In GCMs, the uncertainties in these parameters, and therefore 590 the parameterized convection, are still the leading source of errors for the representation 591 of atmospheric chemistry. However, these uncertainties may be reduced by diagnosing 592 convective parameters from the resolved convection of a cloud resolving model (CRM) 593 inside each GCM column, a method known as the super-parameterized GCMs [Grabowski, 594 2001; Khairoutdinov and Randall, 2001]. Gustafson et al. [2008] and Wang et al. [2011] 595 have already adopted this approach and applied it in aerosol-climate simulations. In this 596 study, we lend support to theirs, provide an evaluation of the approach in an idealized 597 setting, and analyze the sources of errors and their dependence on chemical reaction 598 regimes. 599

X - 30 NIE ET AL.: AQUEOUS REACTIONS IN SHALLOW CUMULI

Although a bulk plume model is used in the EDMF model in this study, many con-600 vection parameterizations use multiple plumes/parcels to represent cloudy updrafts [e.g. 601 Berg and Stull, 2005; Nie and Kuang, 2012a; Sušelj et al., 2013]. A multiple plume/parcel 602 representation allows heterogeneities within cloudy updrafts, which can improve the rep-603 resentation of nonlinear microphysical processes [e.g. Krueger et al., 1997; Nie and Kuang, 604 2012b; Tölle and Krueger, 2014]. It can also potentially benefit the aqueous reactions by, 605 for example, accounting for the segregation between reactive tracers in cloudy updrafts 606 and having different entrainment/detrainment rates for each plume. 607

The current work focuses on the a non-precipitating shallow cumulus convection with an idealized aqueous reaction. Future work is needed to include more realistic chemistry, additional complexities in convection (e.g. precipitation, downdrafts, convective organization and so forth), and their possible interactions (e.g. aerosol-cloud interaction, [*Berner et al.*, 2013; *Wyant et al.*, 2015; *Berg et al.*, 2015]).

Acknowledgments. The authors thank Jessica Kunke for improving writing of the 613 manuscript. Comments from Mary Barth and two anonymous reviewers substantially 614 improved the paper. The numerical simulations presented were obtained and stored on 615 the Harvard Odyssey cluster. The data can be accessed upon contacting the authors 616 (jn2460@columbia.edu). JN acknowledges support from the Lamont Postdoctoral Fellow-617 ship. ZK acknowledges support from NSF grants AGS-1062016, AGS-1260380, and the 618 Office of Biological and Environmental Research of the U.S. Department of Energy under 619 grant DE-SC0008679 as part of the ASR program. 620

References

- ⁶²¹ Alexander, B., R. J. Park, D. J. Jacob, Q. B. Li, R. M. Yantosca, J. Savarino, C. C. W.
- Lee, and M. H. Thiemens (2005), Sulfate formation in sea-salt aerosols: Constraints from oxygen isotopes, *J. Geophys. Res.*, *110*, doi:10.1029/2004JD005659.
- Barth, M. C., P. J. Rasch, J. T. Kiehl, C. M. Benkovitz, and S. E. Schwartz (2000), Sulfur
- chemistry in the National Center for Atmospheric Research Community Climate Model:
- Description, evaluation, features, and sensitivity to aqueous chemistry, J. Geophys. Res.,
 105, 1387–1415.
- Benkovitz, C. M., S. E. Schwartz, M. P. Jensen, and M. A. Miller (2006), Attribution of
- modeled atmospheric sulfate and SO2 in the Northern Hemisphere for JuneJuly 1997,
 Atmos. Chem. Phys., 6, 4723–4738.
- Berg, L. K., and R. B. Stull (2005), A Simple Parameterization Coupling the Convective
 Daytime Boundary Layer and Fair-Weather Cumuli, J. Atmos. Sci., 62, 1976–1988.
- ⁶³³ Berg, L. K., C. M. Berkowitz, J. C. Barnard, G. Senum, and S. R. Springston (2011),
- ⁶³⁴ Observations of the first aerosol indirect effect in shallow cumuli, *Geophys. Res. Lett.*, ⁶³⁵ *38*, L03809.
- Berg, L. K., M. Shrivastava, R. C. Easter, J. D. Fast, E. G. Chapman, Y. Liu, and R.
 A. Ferrare (2015), A new WRF-Chem treatment for studying regional-scale impacts of
 cloud processes on aerosol and trace gases in parameterized cumuli, *Geosci. Model Dev.*,
 8, 409–429.
- Berner, A. H., C. S. Bretherton, R. Wood, and A. Muhlbauer (2013), Marine boundary
 layer cloud regimes and POC formation in an LES coupled to a bulk aerosol scheme,
 Atmos. Chem. Phys., 13, 12549–12572.

X - 31

X - 32 N	IE ET AL.: AQUE	OUS REACTIONS IN	SHALLOW CUMULI
----------	-----------------	------------------	----------------

- ⁶⁴³ Daum, P. H., T. J. Kelly, S. E. Schwartz, and L. Newman (1984), Measurements of the ⁶⁴⁴ chemical composition of stratiform clouds, *Atmos. Environ.*, *18*, 2671–2684.
- Dawe, J. T. and P. H. Austin (2011), The Influence of the Cloud Shell on Tracer Budget
 Measurements of LES Cloud Entrainment, J. Atmos. Sci., 68, 2909–2920.
- Easter, R. C., S. J. Ghan, Y. Zhang, R. D. Saylor, E. G. Chapman, N. S. Laulainen,
 H. Abdul-Razzak, L. R. Leung, X. Bian, and R. A. Zaveri (2004), MIRAGE:
 Model description and evaluation of aerosols and trace gases, *J. Geophys. Res.*, 109,
 doi:10.1029/2004JD004571.
- Ghan, S. J., X. Liu, R. C. Easter, R. Zaveri, P. J. Rasch, J.-H. Yoon, and B. Eaton (2012),

⁶⁵² Toward a Minimal Representation of Aerosols in Climate Models: Comparative Decom-

- position of Aerosol Direct, Semidirect, and Indirect Radiative Forcing, J. Climate, 25,
 6461–6476.
- Grabowski, W. W. (2001), Coupling Cloud Processes with the Large-Scale Dynamics Using the Cloud-Resolving Convection Parameterization (CRCP), J. Atmos. Sci., 58,
- ⁶⁵⁷ 978–997.
- Gustafson, W. I., L. K. Berg, R. C. Easter, and S. J. Ghan (2008), The Explicit-Cloud Parameterized-Pollutant hybrid approach for aerosolcloud interactions in multiscale
- modeling framework models: tracer transport results *Environ*. Res. Lett., 3(2), 025005.
- Heus, T. and H. J. J. Jonker (2008), Subsiding Shells around Shallow Cumulus Clouds,
- ₆₆₂ J. Atmos. Sci., 65, 1003–1018.
- Holland, J. Z., and E. M. Rasmusson (1973), Measurement of atmospheric mass, energy,
 and momentum budgets over a 500-kilometer square of tropical ocean, *Mon. Weather Rev.*, 101, 44–55.

- Jacob, D. J., et al. (1997), Evaluation and intercomparison of global atmospheric transport 666 models using Rn-222 and other short-lived tracers, J. Geophys. Res., 102, 5953-5970. 667
- Jacob, P., T.M. Tavares, V.C. Rocha, and D. Klockow (1990), Atmospheric H2O2 field 668 measurements in a tropical environment: Bahia, Brazil, Atmos. Environ., 124A, 377-669 382. 670
- Jöckel, P., H. Tost, A. Pozzer, C. Brhl, J. Buchholz, L. Ganzeveld, P. Hoor, A. Kerkweg, 671
- M.G. Lawrence, R. Sander, B. Steil, G. Stiller, M. Tanarhte, D. Taraborrelli, J. van 672 Aardenne, and J. Lelieveld (2006), The atmospheric chemistry general circulation model 673
- ECHAM5/MESSy1: consistent simulation of ozone from the surface to the mesosphere, 674 Atmos. Chem. Phys., 6, 5067–5104. 675
- Kazil, J., H. Wang, G. Feingold, A. D. Clarke, J. R. Snider, and A. R. Bandy (2011), 676 Modeling chemical and aerosol processes in the transition from closed to open cells 677 during VOCALS-REx, Atmos. Chem. Phys. Discuss., 11, 7491–7514. 678
- Khairoutdinov, M. F., and D. A. Randall (2001), A cloud-resolving model as a cloud 679 parameterization in the NCAR Community Climate System Model: Preliminary results, 680 Geophys. Res. Lett., 28, 3617–3620. 681
- Khairoutdinov, M. F., and D. A. Randall (2003), Cloud-resolving modeling of the ARM 682 summer 1997 IOP: Model formulation, results, uncertainties and sensitivities, J. Atmos. 683 Sci., 60, 607–625. 684
- Kim, S. W., M. C. Barth, and M. Trainer (2012), Influence of fair-weather cumulus clouds 685 on isoprene chemistry, J. Geophys. Res., 117, doi:10.1029/2011JD017099. 686
- Koehler, M. (2005), Improved prediction of boundary layer clouds, ECMWF Newsletter, 687
- 104, ECMWF, Reading, United Kingdom, 18–22. 688

DRAFT

- X 34 NIE ET AL.: AQUEOUS REACTIONS IN SHALLOW CUMULI
- Krol, M. C., M. J. Molemaker, and J. Vila-Guerau de Arellano (2000), Effects of turbu-
- lence and heterogeneous emissions in photochemically active species in the convective
 boundary layer, J. Geophys. Res., 105, 6871–6884.
- Krueger, S. K., C. Su, and P. A. McMurtry (1997), Modeling Entrainment and Finescale
 Mixing in Cumulus Clouds, J. Atmos. Sci., 54, 2697–2712.
- Lawrence, M. G., and J. R. Philip (2005), Tracer Transport in Deep Convective Updrafts:
- Plume Ensemble versus Bulk Formulations, J. Atmos. Sci., 62, 2880–2894.
- ⁶⁹⁶ Liu, X., J. E. Penner, and M. Herzog (2005), Global modeling of aerosol dynamics: Model
- $_{697}$ description, evaluation, and interactions between sulfate and nonsulfate aerosols, J.
- ⁶⁹⁸ Geophys. Res., 110, D18206, doi:10.1029/2004JD005674.
- ⁶⁹⁹ Molemaker, M. J. and J. Vilà-Guerau de Arellano (1998), Control of Chemical Reactions ⁷⁰⁰ by Convective Turbulence in the Boundary Layer, *J. Atmos. Sci.*, 55, 568–579.
- Neggers, R. A. J., A. P. Siebesma, and H. J. J. Jonker (2002), A multiparcel model for
 shallow cumulus convection, J. Atmos. Sci., 69, 1655–1668.
- Nie, J., and Z. Kuang (2012a), Responses of shallow cumulus convection to large-scale
 temperature and moisture perturbations: a comparison of large-eddy simulations and a
 convective parameterization based on stochastically entraining parcels, J. Atmos. Sci.,
 69, 1936–1956.
- Nie, J., and Z. Kuang (2012b), Beyond bulk entrainment and detrainment rates: a
 new framework for diagnosing mixing in cumulus convection, *Geophys. Res. Lett.*, 39,
 L21803.
- Rasch, P. J., M. C. Barth, J. T. Kiehl, S. E. Schwartz, and C. M. Benkovitz (2000), A
 description of the global sulfur cycle and its controlling processes in the National Center

- for Atmospheric Research Community Climate Model, Version 3, J. Geophys. Res., 105,
 1367–1385.
- 714 Romps, D. M. (2010), A Direct Measure of Entrainment, J. Atmos. Sci., 67, 1908–1927.
- ⁷¹⁵ Sakugawa, H., I.R. Kaplan, W. Tsai, and Y. Cohen (1990), Atmospheric hydrogen per⁷¹⁶ oxide, *Environ. Sci. Technol.*, 24, 1452–1462.
- Schumann, U. (1989), Large-eddy simulation of turbulent diffusion with chemical reactions
 in the convective boundary layer, *Atmos. Environ.*, 23, 1713–1727.
- ⁷¹⁹ Seinfeld, J. H. and S. N. Pandis (1998), Atmospheric chemistry and physics: from air
 ⁷²⁰ pollution to climate change, J. Wiley, New Jersey. Page 366.
- Siebesma, A. P., and J. W. M. Cuijpers (1995), Evaluation of parametric assumptions for
 shallow cumulus convection, J. Atmos. Sci., 52, 650–666.
- Siebesma, A. P., et al. (2003), A large eddy simulation intercomparison study of shallow
 cumulus convection, J. Atmos. Sci., 60, 1201–1219.
- ⁷²⁵ Siebesma, A.P., P.M.M. Soares and J. Teixeira (2007), A combined Eddy Diffusivity Mass
 ⁷²⁶ Flux approach for the convective boundary layer, J. Atmos. Sci., 64, 1230–1248.
- ⁷²⁷ Sušelj, K., J. Teixeira, and G. Matheou (2012), Eddy Diffusivity/Mass Flux and Shallow
- Cumulus Boundary Layer: An Updraft PDF Multiple Mass Flux Scheme, J. Atmos.
 Sci., 69, 1513–1533.
- ⁷³⁰ Sušelj, K., J. Teixeira, D. Chung (2013), A Unified Model for Moist Convective Boundary
- Layers Based on a Stochastic Eddy-Diffusivity/Mass-Flux Parameterization, J. Atmos.
 Sci., 70, 1929–1953.
- Tölle, M. H., and S. K. Krueger (2014), Effects of entrainment and mixing on droplet size
 distributions in warm cumulus clouds, J. Adv. Model. Earth Syst., 6, 281–299.

- Verma, S., O. Boucher, M. S. Reddy, H. C. Upadhyaya, P. Le Van, F. S. Binkowski, and O. 735
- P. Sharma (2007), Modeling and analysis of aerosol processes in an interactive chemistry 736 general circulation model, J. Geophys. Res., 112, D03207, doi:10.1029/2005JD006077.

Vilà-Guerau de Arellano, J., S.-W. Kim, M. C. Barth, and E. G. Patton (2005), Transport

- and chemical transformations influenced by shallow cumulus over land, Atmos. Chem. 739
- Phys., 5, 3219–3231. 740

737

738

- Wang, M., et al. (2011), The multi-scale aerosol-climate model PNNL-MMF: model de-741 scription and evaluation, Geosci. Model Dev., 4, 137–168. 742
- Wu, S., L. J. Mickley, D. J. Jacob, J. A. Logan, R. M. Yantosca, and D. Rind (2007), Why 743
- are there large differences between models in global budgets of tropospheric ozone? J. 744
- Geophys. Res., 112, D05302, doi:10.1029/2006JD007801. 745
- Wyant, M. C., and coauthors (2015), Global and regional modeling of marine boundary 746 layer clouds and aerosols in the marine boundary layer during VOCALS: The VOCA 747
- Intercomparison, Atmos. Chem. Phys., 15, 153–172. 748



Figure 1. The LES simulated (a) active updrafts area fraction a and mass flux M, (b) $q_{c,u}$, (c) total water content q_t in updrafts and environment, (d) F_{q_t} and its decomposition, (e) ϵ and d diagnosed form q_t , and (f) K diagnosed from q_t , ϕ_1 , and ϕ_2 . The dashed line indicates values in the subcloud layer.



Figure 2. The control case steady state (a) $\overline{\phi_1}$ and ϕ_{1u} , (b) $\overline{\phi_2}$ and ϕ_{2u} , (3) F_{ϕ_1} and its decomposition, (4) F_{ϕ_2} and its decomposition, (5) aqueous reaction rate and its portion in cloudy updrafts and downdrafts. The color lines are the LES results, and the color circles are the EDMF model results.

DRAFT



Figure 3. The decomposition of the LES ϕ_2 flux of the "ED" component (black line) into contributions from the cloudy updrafts (red line), the subsiding shells (blue solid line), and the quiescent environment (blue dashed line).



Figure 4. Left column: the segregation error and its decomposition based on Eq. 11. Central column: the correlation coefficients between ϕ_1 , ϕ_2 , and q_c in cloudy updrafts. Right column: the coefficients of variation of ϕ_1 , ϕ_2 , and q_c . From the top row to the bottom row, they are for the control case, $\phi_{2,ref}/\phi_{2,ref0} = 1/12$ case, and $\phi_{2,ref}/\phi_{2,ref0} = 12$ case, respectively.

(a)

%

20

10

0

-10

-20

(b)

, 10¹

10⁰



Figure 5. (a) Γ_{seg} , and (b) D_{a,ϕ_1} and D_{a,ϕ_2} of the cases in group 1.

10¹



Figure 6. (a): the control case ϵ_{q_t} , ϵ_{ϕ_1} and ϵ_{ϕ_2} that are diagnosed from their conservation equations. (b): each line indicates ϵ_{ϕ_1} of one case in the group 2. Lines from lighter to darker are cases from small k (10⁻⁴) to large k (10⁻¹). The dashed line corresponds k = 0 case. ϵ_{q_t} is also plotted as circle for reference.



Figure 7. (a) Γ_{ent} , and (b) D_{a,ϕ_1} and D_{a,ϕ_2} of the cases in group 2.



Figure 8. From top to bottom, each panel shows the LES (black), the EDMF model (red), and the EDMF model with operators-splitting (blue) results of the vertical averaged $\overline{\phi_1}$ (top), $\overline{\phi_2}$ (middle), and \overline{R} (bottom) respectively. The left column is for the cases in group 1, and the right column is for the cases in group 2.



Figure 9. The NRMSE of $\overline{\phi_1}$ (top), $\overline{\phi_2}$ (middle), and \overline{R} (bottom) of the results of the EDMF model (red) and the EDMF model with operators-splitting (blue). Solid (open) marker indicates the vertical averaged variables is overestimated (underestimated) by the simple model comparing to the LES results. The left column is for the cases in group 1, and the right column is for the D R A F T March 16, 2016, 5:29pm D R A F T



Figure 10. The control case NRMSE of \overline{R} in the EDMF model with operators-splitting as functions of ΔT_{CTM} . The dashed line indicates NRMSE of \overline{R} in the EDMF model with tracer transport and aqueous reactions calculated simultaneously.



Figure 11. The comparison between the LES and EDMF model in the transient case with $k = 10^{-3}$. Upper lines: time evolution of (a) $\overline{\phi_1}$, (b) $\overline{\phi_2}$, and (c) \overline{R} of the LES results. Lower lines: differences of (d) $\overline{\phi_1}$ and (e) $\overline{\phi_2}$ between the EDMF model and the LES results. (f) shows \overline{R} in the EDMF model results, with the upper panels being the time evolution and the lower panel being vertically averaged time series (red line). In the lower panel of (f), the LES time series is also shown as the black line.

DRAFT



Figure 12. The hour 6 profiles of (a) $\overline{\phi_1}$ of the k = 0 case; (b) $\overline{\phi_1}$, (c) $\overline{\phi_2}$, and (d) \overline{R} of the $k = 10^{-1}$ case. The red solid lines are the LES results, and the blue circles are the EDMF model results.