Munus amicitiae

Norbert Oettinger

a collegis et amicis dicatum

herausgegeben von

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Typeset with LATEX using the Galliard typeface designed by Matthew Carter and Greek Old Face by Ralph Hancock. The typeface on the cover is Altoetting by Steve Peter.

Library of Congress Cataloging-in-Publication Data

ISBN 978-0-9895142-1-7 (alk. paper)

Printed in the United States of America

17 16 15 14 4 3 2 I

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Gothic stojan 'judge', Old High German stūēn 'atone (for)'

JAY H. JASANOFF

It is widely agreed that the Gothic class I weak verb *stojan* (also *ga-stojan*; 3 sg. -*jiþ*) 'judge, pass judgment; κρίνειν' goes back to an immediate preform *stōwjan, continuing PGmc. *stōwijan(a^N). Within Gothic, a sound change deleted *-w- after *-ō-, giving stojan, -jiþ and hiatus forms of the type pret. 1, 3 sg. *stōūda < *stōwidō^N, *-dai. The hiatus forms were then affected by another rule that lowered the long high vowels (*ī, *ū) and high-mid vowels (*ē, *ō) to low-mid ai [ε:] and au [ɔ:] before another vowel, producing the well-attested alternation pattern stojan [-o:-] vs. stauida [-ɔ:-]. stau- < *stō- is also seen in the related noun staua, gen. -os (< *stōwō) 'judgment, matter for trial; κρῆμα, κρίσις' and its derivatives staua, gen. -ins (< *stōwan-) 'judge; κριτής' and andastaua (gen. -ins) 'opponent at law; ἀντίδικος'.¹

The standard reconstruction *stōwjan is matched by a standard etymology. The major etymological dictionaries agree in tracing stojan and its relatives to a version of the PIE root that we now write as *steh₂- 'stand'. Feist (e.g. 1939:451, 455) cites a semantically diverse group of allegedly related Germanic and Balto-Slavic forms (OHG stouwen 'accuse, forbid', stūēn 'atone for', MLG stōwen, stouwen, stūwen 'pile up', OCS staviti 'put', Lith. stověti 'stand', etc.), all of which he refers to a PIE extended root *stāu-. Within Germanic, he equates Go. staua 'judgment' to OE stōw 'place', OFr. stō 'id.', and OIcel. eld-stó 'fireplace', positing a semantic development 'place' > 'place of judgment, Gerichtsstätte' > 'judgment'.² Pokorny (IEW 1008) accepts a variant of this account, as do Kluge and Seebold (2011 s. v. stauen). LIV² s. v. *steh₂- hesitantly departs from the usual reconstruction *stōwjan and proposes a direct derivation of stojan from an iterative-causative *stoh₂-éie/o- 'determine, establish the truth of, feststellen', with "analogical" restitution of the *-j-.³ But even here the possibility of a denominative present based on *stōwō 'place' is entertained as an alternative.

^{&#}x27;Similarly taui, 'deed', gen. tojis; afmauips 'exhausted' $< *-m\bar{o}ips$, etc. The rule is well documented for prevocalic $*\bar{e}$ (cf. saian 'sow' $< *s\bar{e}an$, faian 'reproach' $< *f\bar{e}an$, armaio 'alms' $< *-\bar{e}\bar{o}$, etc.), but less so for $*\bar{u}$, where bauan 'dwell' $< *b\bar{u}an$ is the only example. There are no cases at all for $*\bar{\imath}$, which was probably never prevocalic in Proto-Germanic.

²So too Lehmann 1986.

³As shown by Thórhallsdóttir (1993), intervocalic *-j- < PIE *-j- was lost everywhere in Germanic except after *-j-; apparent cases of -j-retention, as in later OHG sāian 'sow' or OS salboian 'anoint', are analogical. The phonological reflex of PIE *stoh_-eje/o- in Gothic would have been *stauan < *stōan; analogical restoration

Much of this near-consensus turns out on closer inspection to be ill-founded. That OE stōw and other words meaning 'place' go back via PGmc. *stōwō to a suffixed form of PIE * $steh_2$ - 'stand' can be taken for granted; one has only to think of the nearly synonymous Go. staps, Lith. stovà, Ved. sthāna-, etc. for parallels. But was *stōwō '(standing) place' (> OE stōw) the same word as *stōwō 'judgment' (> Go. staua)? The supposed transitional meanings 'place of judgment' or 'determination, Feststellung' are nowhere to be found in our material; the Gothic forms almost invariably relate to actual judges and legal proceedings, while the juridical sense is altogether absent in North and most of West Germanic (see below). Only in Old (and Middle) High German is there a semantic echo of the Gothic forms, and here the details are instructive. The Old High German cognate of Go. stojan, found almost exclusively in glosses, is the class I weak verb stouwen, stuowen (also ir-) and its secondary class II byform (ir)stouwon (-uo-).4 The meaning of these can be gathered from the Latin verbs they translate: (con)querī 'complain', protestārī 'bear witness', increpāre 'chide', obiurgāre 'scold', incusāre 'accuse', causārī 'dispute', corripere 'reproach', etc. The common ancestor of Go. stojan and pre-OHG *stōwjan3 clearly did not mean 'establish the truth of' or 'perform the office of the Gerichtsstätte'. It denoted a verbal act—the act of reproving, complaining, or finding fault.

OHG stouwen has a direct continuant in MHG stöuwen, stouwen 'complain, accuse, reproach'. But the Middle High German verb also has another meaning, 'stop, inhibit, hold back', which is not found in Old High German.⁶ The latter is the only attested sense of *stōwjan (*-ōn) in the other West Germanic languages. In Old English, the hapax stōwian (< *-ōn) glosses Lat. retentāre 'hold back firmly'. In Middle Low German the earliest meaning of stōwen, stouwen, etc. was 'block, dam up (water)', whence 'pile up (earth, stones, etc.)' and then 'press tightly into place'. (NHG stauen 'stow, dam up', a Low German loanword, bears witness to this development.) Unlike *stōwjan 'reproach' ("*stōwjan₁"), this second *stōwjan (*-ōn), meaning 'hold back' ("*stōwjan₂"), stands in an obvious and uncomplicated etymological relationship to the inherited substantive *stōwō (< *steh₂-u-) '(standing) place'. The literal sense of *stōwjan₂ was 'put or fix in a stationary position'.

of the -j- would presumably have involved a proportion of the type past ptcp. nasida-: pres. nasjan ('save') :: past ptcp. * $st\bar{o}ida$ -: pres. $X; X = st\bar{o}jan$. But the participle * $st\bar{o}ida$ - would itself have to have been created analogically.

⁴For the forms see Müller 1957:309–14; Raven 1963–7:I 323, II 148–9; Riecke 1996:382–3.

⁵As first seen by van Helten (1981:485–6), the Old High German class I forms are traceable to a preform *stōwjan. The variants with -uo- preserve the phonology of the 2, 3 sg. *stōwis, *-iþ; the variants with -ouw- (<*-awwj-) reflect the phonology of the stem-form *stōwja-, presumably via a development of the type *stōwja->*stōwwja->*stōwwja->*stawwja- (cf. Thórhallsdóttir 1993:219–24, with discussion and references). Notwithstanding the ad hoc character of the latter scenario—there are no potentially confirming or disconfirming parallels—it is far simpler to refer stuow- and stouw- to a common prototype than to set up an otherwise unmotivated *stawjan for the sole purpose of accounting for OHG stouw-.

⁶With the possible exception of two cases where *irstouu(i)ta* glosses Lat. *reppulit* 'thrust away' (see Müller 1957:311). The contexts, however, leave open the possibility that the Latin form was understood to mean 'rebuked' or 'rebuffed'.

All this seriously undermines the standard derivation of Go. *stojan* from **steh*₂- 'stand'. None of the transitional meanings ('*Gerichtsstätte*', etc.) that might have bridged the gap between 'stand, establish' and 'judge/judgment' are actually attested, and the meaning of OHG *stouwen* suggests rather that the Gothic sense 'judge' developed out of an earlier meaning 'reprove' or 'denounce'.⁷ Clearly, a better story is needed.

In a perceptive paper written a generation ago, Roberto Gusmani (1978) proposed a different etymology, comparing WGmc. * $st\bar{o}wjan_1$ and Go. stojan with Ved. $st\acute{a}uti$ 'praises', Gk. $\sigma\tau\epsilon\hat{v}\tau\alpha$ 'declares, announces one's intention', and Hitt. 3 sg. mid. $i\dot{s}tuw\bar{a}ni$ 'becomes known'. According to Gusmani, the underlying PIE root *steu- 'declare/ address solemnly' was restricted to legal contexts in Germanic, in the same way that derivatives of PIE *deik- 'point out' acquired a specialized legal meaning in Italic (Lat. $i\bar{u}dex$, Osc. meddiss 'judge') and Greek ($\delta i\kappa\eta$ 'judgment, lawsuit, punishment'). Some of the details of Gusmani's account can be questioned, notably his claim that the technical juridical sense of Go. stojan, staua preceded the more general sense of OHG stouwen. But there can be no doubt that the connection with *steu- yields a better semantic—and formal—fit with * $st\bar{o}wjan_1$ and stojan than the traditional derivation from * $steh_2$ -. As we shall see, it is also supported by morphological considerations that could not have been appreciated thirty-five years ago.

Ved. stáuti (cf. GAv. 1 sg. stāumī) is well-known to Indo-Europeanists as the "original" Narten present, the verb on the basis of whose apophonic and accentual behavior the existence of the PIE "proterodynamic" present type (act. 3 sg. *stéu-ti, pl. *stéu-nti, mid. *stéu-) was first posited in 1968 by Johanna Narten. In the decades since Narten's classic paper, correlations have been discovered between Narten presents and other lengthened-grade formations - correlations so striking that many scholars now assume a distinction between Narten and non-Narten roots in the parent language.8 The ontological status of Narten roots is not a question that needs to concern us here. What is important for us is that since the root *steu- made a Narten present, we would also have expected it to make a "Narten" (= lengthened-grade) iterative-causative *stōu-eie/o- 'cause to be declared/addressed solemnly', just as the parallel roots * h_1ed - 'eat' (Narten pres. * $h_1\bar{e}d$ -/* h_1ed -), *sed- 'sit' (Narten pres. * $s\bar{e}d$ -/*sed-), and *pleu- 'flow' (Narten pres. * $pl\bar{e}u$ -/ *pleu-) made lengthened-grade iterative-causatives * $h_1 \dot{o} d$ -eie/o- 'cause to be eaten, eat' (> Arm. utem 'eat'), *sōd-eie/o- 'cause to be set down, fix' (> OIr. saidid 'implants'), and *plou-eie/o- 'cause to flow' (> OCS plaviti 'make flow, make float'), respectively.9 I submit that the predicted iterative-causative *stōu-eie/o-, semantically "downgraded" from 'cause to be declared/addressed solemnly' to 'cause to be denounced/reproached'

⁷The presumption of innocence was not, it would seem, universally respected among the early Germanic peoples.

⁸The canonical statement of this position is Schindler's influential "erweitertes Handout" of 1994.

⁹The correlation of lengthened-grade causatives with Narten presents was first demonstrated by Klingenschmitt (1978), who set up the suffix as *-ie/o-. In my view, a stronger case can be made for the traditional suffix-form *-eie/o-, which is retained here. The question of *-ie/o- vs. *-eie/o- is irrelevant for Germanic, where the two would have fallen together in any case.

(\cong 'denounce/reproach'), was precisely the source of PGmc. * $st\bar{o}wijan(a^N)$ and its daughter forms, pre-OHG * $st\bar{o}wjan_1$ and Gothic stojan. The semantic development in Germanic was the opposite of that in Indo-Iranian, where 'declare/address solemnly' was "upgraded" to 'acclaim/praise'. But Gmc. * $st\bar{o}wjan_1/stojan$ and IIr. * $st\bar{a}uti$ are otherwise parallel, referring both to persons (wrongdoers; gods and heroes) and their actions (transgressions; heroic deeds).

Gusmani, then, was right to trace *stōwjan₁/stojan to the root *steu-. He was probably wrong, however, to take it as a denominative present to *stōwō ("*stōwō₁") 'judgment'. The denominative analysis is superfluous: since the lengthened-grade iterative-causative *stōw-eie/o- was present, or at least freely formable, in the parent language, it is simpler to posit a direct development from *stōw-eie/o- to *stōw(i)ja- than to take the more circuitous route through a deverbative noun. To PGmc. *stōwō₁ (> Go. staua), which must originally have meant something like 'solemn verbiage' (whence 'denunciation, reproach' > 'judgment'), was formally a lengthened-grade "collective" comparable to Gk. κώμη 'village', λώγη 'grain harvest' (Hesych.), or Lith. núoma 'rent', etc. (cf. Vine 1998, Villanueva Svensson forthcoming). Nouns of this type were an independent constituent of the Narten derivational complex. II

Taking *stōwjan,|stojan from *steu- opens the way to an analysis of the obscure but obviously related OHG stūēn 'atone (for)'. The sole attestation of this verb in a literary work is in *Muspilli*, l. 25: *uue demo in uinstri scal | sino uirina stuen* 'woe to him who must atone for his crimes in darkness'. The infinitive ending -en can only stand for -ēn in this text, justifying the standard lemmatization as a class III weak verb. ¹² There are also scattered attestations in the Old High German glosses. These show, *inter alia*, that the vowel of the first syllable was long, and that the class III inflection, unusual in a verb of this structure and meaning, was replaced over the course of the ninth and tenth centuries by the class I inflection proper to the verba pura (3 sg. (ir)stūēt \rightarrow (ir)stūit, etc.). ¹³ stūēn was clearly an archaic word, lacking a synchronic derivational basis in Old High German and apparently unfamiliar even to the copyists who transmitted it. ¹⁴ Its immediate source would have to have been a class III weak verb *stumō, *-ais, *-aib, etc.

¹⁰It is otherwise, of course, with *stōwjan₂, which is clearly denominative.

[&]quot;The Narten character of the long vowel of the Germanic forms was already seen by Thórhallsdóttir (1993:225), who also noted, but did not endorse, the possibility of taking *stōwjan₁/stojan from a Narten causative

¹²All the historical infinitives in *-jan in Muspilli end in -an (class I weak touuan, huckan, kistarkan, uarsenkan, suannan $(2\times)$, lossan, arteillan, kitarnan; strong furisizzan). The class III weak infinitives, other than stuen itself, are sorgen $(3\times)$ and sagen.

¹³Müller (1957:314–5) and Raven (1963–7:I 210) give the forms. In verba pura of the type *blōan 'bloom', *grōan 'grow', etc. the -uo- that developed from - \bar{o} - was monophthongized to - \bar{u} - before another vowel, giving 3 sg. blāit, grūit, etc. alongside analogically restored bluoit, gruoit (cf. Braune and Reiffenstein 2004:43, 297). It was to this type that class III $st\bar{u}\bar{e}n$ (with long - \bar{u} -!) was assimilated (cf. 3 sg. arstrit, etc., as if to class I *stu(o)en). A secondary class I preterite was created as well ((ir)stuota after bluota < *blōta < *blōta, etc.). Pace Pokorny (IEW 1008) and other writers, a primary (i.e., formerly reduplicating) OHG *stūan or *stōan never existed.

¹⁴As Müller points out (*ibid.*), misspellings of "stuo(e)n" are frequent, and glosses containing the word are sometimes in effect glossed themselves.

(infin. *stuwan), with the class III suffix *-ai-/-a- (cf. below) added to the zero grade of the root (*stuw- < *stu(\underline{u})-). The transparency of the original formation was lost in Old High German, where the sequence /-'u.wV-/ was resyllabified by regular sound change and rephonologized as /-' \bar{u} .V-/ (*stuw \bar{e} - > $st\bar{u}\bar{e}$ -). Neither the - \bar{u} - nor the hiatus of $st\bar{u}\bar{e}n$ was thus original.

What exactly was the connection between *stōwjan₁/stojan and *stuwan/stūēn? Commenting on the semantic difference between 'denounce, reproach' (> 'judge') and 'atone (for)', Müller (1957:319) says,

Von der Bedeutung her gesehen sind stu(o)en [= $stu\bar{e}n$; JJ] und stojan beides Rechtsworte, jedoch mit jeweils anderer Sicht des Rechtsganges. Das Gotische bezeichnet den Vorgang vom Rechtsprecher aus: stojan ist 'richten', staua 'Richter, Gericht'. Das Ahd. sieht ihn vom Angeklagten her: (gi-, ir-) stu(o)en 'Strafe leiden, büßen'. Derselbe Vorgang ist also einmal von der aktiven, einmal von der passiven Seite aus gesehen...

Müller is entirely right to liken the *stōwjan, : *stuwan contrast to the difference between the active and mediopassive. If 'judging' is seen as an extension of denouncing or reproaching, then 'atoning' is an extension of denouncing one's own actions or reproaching oneself. Outside Germanic, interestingly, the root *steu- is better attested in the middle than the active. In Greek there is no active at all: στεῦται, historically the middle of the Narten present *stéu-ti (cf. Ved. act. stáuti : mid. stáve < *stéu-oi) is an isolated deponent. Hitt. ištuwāri, with the dentalless "stative" ending -āri (cf. Oettinger 1976), is likewise medium tantum, but apophonically distinct from the Narten middles $\sigma \tau \epsilon \hat{v} \tau \omega i$ and stáve. The Hittite form presupposes a zero-grade preform *stuu-ór, which (pace LIV² 601) is probably old. As I have argued elsewhere (see n. 16), pre-Hitt. *stuu-ór was a "root stative-intransitive present" of the type seen also in Hitt. lagāri 'falls, is knocked over' (: PIE *legh-), wrāni (< *-āri) 'burns' (: *uerH-), and especially Indo-Iranian forms like Ved. cité 'appears', vidé 'is found (as)', OAv. sruiiē 'is famed (as)' (cf. LIV² 335, n. 4), etc. Such presents belonged to "stative-intransitive systems" in the parent language; they were correlated, *inter alia*, with h_2e -conjugation agrists of the type that gave "passive" aorists in Indo-Iranian. 16 It is thus no accident that the passive aorists corresponding to cité, vidé, and sruiiē are all directly attested in our texts (Ved. áceti, avedi, OAv. srāuuī [= Ved. śrāvi]). Precisely the same pattern is seen in the relationship between Hitt. ištumāri and Ved. ástāri 'was praised'. The expected Ved. 3 sg. pres. *stuvé 'is praised' does not occur, its place having been taken by the Narten form

¹⁵There is no contrast between -uwa- and - $\bar{u}a$ - in Old High German. The corresponding treatment of *-ija- is seen, e.g., in $f\bar{i}e\bar{n}$ 'hate' and $f\bar{i}ant$ 'enemy' (: Go. fijan, fijands).

¹⁶Stative-intransitive systems and the IE context of the Indo-Iranian passive aorist are discussed in Jasanoff 2003:152–73. It is essential to note that the terms "stative" and "stative-intransitive", as used by our honorand, myself, and other authors in connection with these forms, have a background in the history of IE scholarship and are in part purely conventional. Thus, e.g., the root stative-intransitive present Ved. 3 sg. *duhé* 'yields [milk]' is often neither stative nor intransitive.

stáve. But the multiply attested YAv. 1 sg. *stuiiē* 'I praise' (*ā-stuiiē* 'I confess') shows that the absence of **stuvé* is secondary.¹⁷

For PIE, then, we can posit a present middle *stu-h₂é(r), *-th₂é(r), *-ó(r), etc., formally distinct from the Narten middle (*stéu-) and having both transitive and intransitive readings ('solemnly declare/announce concerning oneself; be solemnly declared/announced'). If these forms had survived into Proto-Germanic, they would have undergone the usual adversative shift: 1 sg. *stuwai¹¹¹ 'I denounce/reproach (myself, my actions)', 2 sg. *stuðai, 3 sg. *stuwai, etc. Non-passive athematic middles of this type, in my view, were the nucleus of the third weak class. In the 3 sg., the opaque *stuwai was clarified to *stuwaip(i) by the addition of the productive active ending *-p(i); the process was the same as in Ved. áduha[t] 'gave milk', Hitt. paršiya[zi] 'breaks', and other well-known examples. The remaining forms were then activized as well: a new 2 sg. *stuwais(i) and 2 pl. *stuwaip(e) were fashioned on the model of 3 sg. *stuwaip(i); in the 1 sg., *-ai was replaced by *-ō, leading to the creation of a thematic 1 pl. *stuwamiz (vel sim.) and 3 pl. *stuwanp(i). 20

No discussion of $st\bar{u}\bar{e}n$ can be complete without a note on the unique Old High German compound stuatago 'Judgment Day'. The word is a hapax, known only from l. 55 of Muspilli, the same text that provides our primary attestation of $st\bar{u}\bar{e}n$. The first element of the compound, potentially readable as disyllabic $st\bar{u}a$ -, $st\bar{u}a$ -, or monosyllabic stua-, is an otherwise unknown word meaning 'judgment', evidently akin to Go. staua. But OHG stua- is peculiar in two respects:

- I) It is the only reflex of the root *steu- outside Gothic with a specifically juridical meaning. Since the semantic shift from *stōw(i)ja- 'denounce, reproach' to stojan 'judge' was specifically Gothic, an Old High German counterpart of Go. staua < *stōwō ought to have meant 'denunciation, reproach' rather than 'judgment'.</p>
- 2) From a purely formal point of view, *stua-* is unlikely to go back to *stōwō, which would have been expected to give *stuowua(tago) in Old High German.²² Al-

¹⁷As I will discuss elsewhere, indirect evidence for a Vedic 1 sg. *stuvé, parallel to YAv. stuiiē, is to be found in the common but formally isolated stusé 'I will praise', from the "Doppelstamm" stus(a)-.

¹⁸How the zero-grade and Narten middles would have differed, if at all, in function is not obvious. The two occur side by side in Younger Avestan.

¹⁹As in Indo-Iranian and Greek, the middle *hic et nunc* particle *-r was replaced by *-i in Germanic.

²⁰For the most recent version of my analysis of the third weak class, see Jasanoff 2002–3:133–40, 156–61, where the "middle" interpretation of *stuwan, *-aip appears in a less developed form. The historical identity of PGmc. *stuwai[b] and Hitt. ištuwāri recalls the word equations PGmc. *witai[b] (Go. witaip, etc.) 'watches' = Ved. vidé 'is found (as)', and PGmc. *dugai[b] (OIcel. dugir) 'helps, suffices' = Ved. dubé.

²¹The line is usually read *sten ni kistentit | uerit denne stuatago in lant* 'stone will not stand, the Judgment Day will then come into the land'. Although the *s*- of *stuatago* is a restoration, the alliteration with *sten* (likewise with restored *s*-) and *kistentit* (with *st*- in the ms.) leaves no doubt that *stuatago* (and not, e.g., *tua[m]tago 'Doomsday') is the correct reading.

²²For proof of this we need look no further than *Musp.* 69, where the dat. sg. of *rōwa 'rest, *Ruhe*' is written *ruuuu*.

though *stua- could* in principle go back to PGmc. **stuwō*, with the same development as in $stu\bar{e}$ - < **stuwai*-, there is no comparative basis for such a preform.

The simplest interpretation of these facts is that *stua*-, or conceivably the whole compound *stuatago*, is a Gothic loanword. This idea is not new; it was first proposed on semantic grounds by Freudenthal (1949:89), and has been cited, for the most part approvingly, ever since.²³ The lexical "footprint" of Gothic in the Bavarian dialect of Old High German is uncontroversial. The phonological development would have been straightforward: as in the verba pura, early Old High German **stōa* (< Go. *staua* [stɔ:a]) would have been diphthongized to **stuoa* and simplified to disyllabic *stūa* (cf. **blōan* > *bluoan* > *blūan*, etc.).

While thus of cultural interest as a case of Gothic–Old High German contact, *stūatago* is not an independent addition to the list of Germanic reflexes of **steu*- with an unbroken IE pedigree. But the number of such forms is already substantial:

- PGmc. *stōwijan(a^N) 'denounce, reproach' (> Go. stojan, OHG stouwen, stuowen)
 : PIE Narten causative *stóu-eie/o-;
- PGmc. *stōwō 'denunciation, reproach' (> Go. staua [→ OHG stūa-]) : PIE ō-grade collective *stōu-eh₂;
- PGmc. *stuwaip(i) 'atones (for)' (> OHG stūēt) : PIE 3 sg. mid. ("root stative-intransitive") *stuu-ór.

As these examples show, Germanic, for all its innovative features, still has the power to confront us with surprising archaisms.

Abbreviations

IEW = Pokorny, Julius. 1959. *Indogermanisches etymologisches Wörterbuch*. Vol. 1. Bern: Francke.

LIV² = Rix, Helmut, ed. 2001. Lexikon der indogermanischen Verben: Die Wurzeln und ihre Primärstammbildungen. 2nd ed. Wiesbaden: Reichert.

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²³So, e.g., Müller 1957:320, Mastrelli 1976:81-4, Green 1998:318-9.

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